

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD AND APPARATUS FOR DRUG AND GENE DELIVERY

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as

Application Serial No. 0 / \_\_\_\_\_

and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

0 / \_\_\_\_\_  
 (Application Serial No.) (Filing Date) (Status)  
 (patented, pending, abandoned)

0 / \_\_\_\_\_  
 (Application Serial No.) (Filing Date) (Status)  
 (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Ansel M. Schwartz, Reg. No. 30,587

Send Correspondence to:

Ansel M. Schwartz

412/621-9222

Direct Telephone Calls to: (name and telephone number)

Full name of sole or first inventor		
Michael L. Reed		11/5/96
Inventor's signature		Date
<i>Michael L. Reed</i>		
Residence		
111 Berwick Drive, Pittsburgh, PA 15215		
Citizenship		
United States		
Post Office Address		
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Full name of second joint inventor, if any		
Lee E. Weiss		11/5/96
Second inventor's signature		Date
<i>Lee E. Weiss</i>		
Residence		
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Citizenship		
United States		
Post Office Address		
6558 Darlington Road, Pittsburgh, PA 15217		

(Supply similar information and signature for third and subsequent joint inventors.)

ADDED PAGE TO DECLARATION AND POWER OF ATTORNEY FOR PATENT  
APPLICATION - THIRD AND SUBSEQUENT JOINT INVENTORS

Full name of third joint inventor Clarence C. Wu  
Inventor's signature *Clarence C. Wu* Date: 11/06/96  
Residence 521 Clemson Drive, Pittsburgh, PA 15243  
Citizenship British National (Hong Kong)  
Post Office Address 521 Clemson Drive, Pittsburgh, PA 15243

Full name of fourth joint inventor Marc D. Feldman  
Inventor's signature *Marc D. Feldman* Date: 11/06/96  
Residence 1137 Shady Avenue, Pittsburgh, PA 15232  
Citizenship United States  
Post Office Address 1137 Shady Avenue, Pittsburgh, PA 15232

05765067-021501  
T06T20-09055760

Attorney's Docket No. REED-1

**PATENT**

Applicant or Patentee: Michael L. Reed, Lee E. Weiss, Clarence C. Wu, Marc D. Feldman

Application or Patent No.: /

Filed or Issued:

For: METHOD AND APPARATUS FOR DRUG AND GENE DELIVERY

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b))—INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor, as defined in 37 CFR 1.9(c), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled METHOD AND APPARATUS FOR DRUG AND GENE DELIVERY

described in

- ☒ the specification filed herewith.
- ☐ application no. /, filed .
- ☐ patent no. , issued .

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c), if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern, or organization.
- ☐ persons, concerns or organizations listed below \*

*\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)*

FULL NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Michael L. Reed

Name of inventor

*Michael L. Reed*

Signature of Inventor

Date

11/5/95

Lee E. Weiss

Name of inventor

*Lee E. Weiss*

Signature of Inventor

Date

11/5/96

Marc D. Feldman

Name of inventor

*Marc D. Feldman*

Signature of Inventor

Date

11/5/96

Clarence C. Wu

Name of inventor

*Clarence C. Wu*

Signature of Inventor

Date

11/5/96

Small Entity—Independent Inventor [7-1]—page 2 of 2)

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